



CalABLE Direct Incoming Rollover/Transfer Form

Questions? Call 833-CAL-ABLE (833-225-2253)
Visit www.CalABLE.ca.gov

Or contact the Program via email at CalABLEsupport@CalABLE.ca.gov

Please read the **Program Disclosure Statement and Participation Agreement** carefully before completing this form. You can access this document at: www.CalABLE.ca.gov

Complete this form to:

Request a direct rollover from a 529 College Savings Account or another Qualified ABLE Plan Account to a CalABLE account.

What you will need:

- Name and mailing address of the 529 College Savings Plan or Qualified ABLE Plan Account (QAP)
- Documentation showing the basis and earnings of the contribution provided by the 529 College Savings Plan or Qualified ABLE Plan Administrator
- Signature of the CalABLE Account Beneficiary (or Authorized Legal Representative)
- Signature of 529 College Savings Account Owner or Qualified ABLE Plan Account Owner
- Signature Guarantee (only if required by the 529 College Savings Plan or State ABLE Account Administrator)

Please note: You must have an established CalABLE Account before completing this form. You are only allowed to request a rollover of funds to your CalABLE Program Account if no rollover has been made within the prior 12 months.

Please type in the required information below or print clearly, in uppercase letters, with blue or black ink.

Login to your account at access.CalABLE.ca.gov, select the document tab at the top of your homepage and click UPLOAD Documents.

**Questions? Call us at 833-CAL-ABLE
(833-225-2253)**

1 CalABLE Account Information

CalABLE Account Number

Telephone Number

			-			-					
--	--	--	---	--	--	---	--	--	--	--	--

Name of Beneficiary on ABLE Account

Beneficiary Date of Birth (MM/DD/YYYY)

		-			-				
--	--	---	--	--	---	--	--	--	--

Beneficiary SSN/TIN

			-			-				
--	--	--	---	--	--	---	--	--	--	--

If the Beneficiary has an Authorized Legal Representative, please complete the following information for the Representative:

Full Name

--

Date of Birth (MM/DD/YYYY)

		-			-				
--	--	---	--	--	---	--	--	--	--

SSN/TIN

			-			-				
--	--	--	---	--	--	---	--	--	--	--

2 Incoming Rollover Information

TELL US ABOUT YOUR ROLLOVER:

Is the Beneficiary of the CalABLE Account the same as the beneficiary on the 529 college savings account or other qualified ABLE account you are rolling over?

- Yes
- No, but I certify that the new Beneficiary listed below is qualified as a “Member of the Family” of the Qualified ABLE Program Account as defined by section 529 of the Internal Revenue Code

Dollar amount of Rollover	\$
Earnings Portion of Rollover (<i>amount earned on the other QAP account</i>)	\$
Year-to-Date Contributions to the other QAP	\$
Date of Establishment (<i>date the other QAP was originally established</i>) MM/DD/YY	/ /

Please note: Unless you provide all of the above information or documentation detailing a breakdown of contributions and earnings, the entire rollover amount will be treated as earnings and will be reported as earnings for tax purposes.

529 College Savings Plan or Qualified ABLE Plan Account Number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

			-			-				
--	--	--	---	--	--	---	--	--	--	--

Account Owner Name (First, MI, Last, Suffix)

Beneficiary Name (First, MI, Last, Suffix)

529 College Savings Plan or Qualified ABLE Plan Account Name

529 College Savings Plan or Qualified ABLE Plan Account Mailing Address Line 1

529 College Savings Plan or Qualified ABLE Plan Account Mailing Address Line 2

529 College Savings Plan or Qualified ABLE Plan Account City

State

Zip Code

CalABLE will contact your current 529 College Savings Plan or Qualified ABLE Plan Account Administrator to send the funds to us. The current 529 Plan or Qualified ABLE Plan Account Administrator may require a signature guarantee to complete this rollover. Please confirm with the current 529 College Savings Plan or Qualified ABLE Plan if they require a signature guarantee and provide at the end of this form.

The Beneficiary of the CalABLE Account will become the owner of the rollover funds even if that beneficiary was not the owner of the 529 College Savings Account or Qualified ABLE Plan Account.

Rollover funds are subject to the same \$15,000 annual limit as other contributions to your CalABLE Account. If you have a remaining balance in your 529 College Savings Account after completing this rollover, we encourage you to take advantage of initiating rollovers on an annual basis.

The Beneficiary of the CalABLE Account must be the same as the Beneficiary of the 529 College Savings Account or must be qualified as a "Member of the Family" of the CalABLE Account Beneficiary as defined by section 529 of the Internal Revenue Code.

